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He is co-author (with Zvi Bodie and Alex Kane) of the texts Investments and Essentials of Investments. Professor Marcus has served as a research fellow at the National Bureau of Economic Research. Professor Marcus also spent two years at Freddie Mac, where he

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helped to develop  
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credit risk models.

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Zhipeng Yan b. Risk concerns: Differences in risk tolerance create demand for assets with a variety of risk-return combination. 2.

Business sector: business is more concerned about how to finance their investments, through debt or equity either privately or publicly.

### **Chapter One: The Investment Environment**

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INVESTMENTS | BODIE,  
KANE, MARCUS 9-4 •  
The market portfolio  
(M) is on the efficient  
frontier and is on the  
Capital Market Line •  
All investors will hold

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the same portfolio for risky assets - market portfolio (M) • Market portfolio contains all securities and the proportion of each security is its market value as a percentage of total

### **CHAPTER 9**

Chapter 01 - The Investment Environment 1-1

CHAPTER 1: THE INVESTMENT ENVIRONMENT

ENVIRONMENT

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PROBLEM SETS 1.

Ultimately, it is true that real assets determine the material well being of an economy. ... 12960828

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KANE, MARCUS 4-5

Types of Investment  
Companies • Unit

Trusts -Fixed portfolio  
of uniform assets

-Unmanaged -Cash out  
by selling back to

Trustee, which will  
disinvest or find new

buyer -Total assets  
have declined from

\$105 billion in 1990 to  
\$29 billion in 2009

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**CHAPTER 4**

INVESTMENTS | BODIE,  
KANE, MARCUS Life  
Cycles and Multistage  
Growth Models (3 of 3)

Ticker Return on  
capital (%) Payout  
Ratio (%) Growth Rate  
2017-2020 Electric  
utilities (East Coast)  
Dominion Resources D  
8.5% 73.0% 10.1%  
Consolidated Edison ED  
5.5 69.0 1.6 Duke  
Energy DUK 5.0 75.0  
3.8 Eversource ES 6.0

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58.0 6.0 FirstEnergy FE  
5.5 48.0 4.5 Nextera  
Energy NEE 7.5 69.0  
6.3 Public service ...

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KANE, MARCUS YTM vs.  
HPR YTM • YTM is the  
average return if the  
bond is held to  
maturity • YTM depends  
on coupon rate,  
maturity, and par value  
• All of these are readily  
observable in the  
market Holding Period  
Return • HPR is the rate  
of return over a



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are nearly efficient,  
meaning that most  
securities ...

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